

Trade Status

[See previous W5 version guide](#)

PURPOSE

This document contains detailed procedures for viewing trade status in CS Lucas system.

WHY IS THIS IMPORTANT?

Trade Status screen allows user to view the status for all trades done by the entities in the system to check whether the trade has been authorised, verified, confirmed or deleted to ensure the completeness.

PROCEDURE



1. Firstly, you need to set the description to “CONTROL SEQUENCE” for the accounting centre group that you would like to view the trade status.
2. From the main menu, select Set Up > Static > Accounting Centre
3. Click Group.
4. In the Accounting Centre Group screen, Click Refresh. The list of accounting centre groups will be displayed.

Accounting Centre Group

Entity Group Type

☐	App? ↑↓	Short Name ↑↓	Description ↑↓	Group Type ↑↓	With Effect* ↑↓
☐		Invest Co	Invest Co	Accounting Centre - Standard	
☐		PINE Subs	PINE Subs	Accounting Centre - Standard	
☐		SG-Co	SG-Co	Accounting Centre - Standard	
☐		TFS Corp	TFS Corp	Accounting Centre - Corp Structure	
☐		TFS Corp 2	TFS Corp 2	Accounting Centre - Corp Structure	
☐		TFS Group	CONTROL SEQUENCE	Accounting Centre - Standard	

1-6 of 6 records << < 1 > >> 50 ▾

5. Click on the Edit button of the accounting centre group to drill down to the Amend Accounting Centre Group screen.

6. Enter the description as CONTROL SEQUENCE and click Save.

Amend Accounting Centre Group

Short Name*

Long Name

Description

7. Approve the amended accounting centre group by clicking on the checkbox beside the group Short Name. Click Action and Approve.

8. To view trade status, select Back Office > Trade Flow Control from the main menu.

Trade Control

Event Type*Acct Cntr*TFS-SGStatusPendingCtpyOur Dealer

Date Fr*12/06/2023

Date To*12/06/2023

Refresh

Select All

Action

Status

Action

Q Search

Columns

FlagTrade ID ↑↓Acct Cntr ↑↓Ctpy ID ↑↓Status ↑↓Trade Details ↑↓

No records found.

0-0 of 0 records<<<>>>50

Comments

9. Click Status.

Trade Status

Acct Cntr GroupFrom Date*12/06/2023To Date*12/06/2023Order BySequence

Cancel

Refresh

Print

Action

Q Search

Columns

Sequence ↑↓Acct Cntr ↑↓TradeID ↑↓Deleted ↑↓Verified ↑↓Authorised ↑↓Confirmed ↑↓

No records found.

0-0 of 0 records<<<>>>50

10. Select the Accounting Centre Group and dates to view the trades.

Trade Status

Acct Cntr GroupTFS GroupFrom Date*01/01/2023To Date*12/06/2023Order BySequence

Cancel

Refresh

Print

Action

Q Search

Columns

Sequence ↑↓Acct Cntr ↑↓TradeID ↑↓Deleted ↑↓Verified ↑↓Authorised ↑↓Confirmed ↑↓

No records found.

0-0 of 0 records<<<>>>50

11. Click Refresh.

Trade Status

Acct Cntr Group

TFS Group

From Date*

01/01/2023

To Date*

12/06/2023

Order By

Sequence

Cancel

Refresh

Print

Action

Search

Columns

Sequence ↑↓	Acct Cntr ↑↓	TradeID ↑↓	Deleted ↑↓	Verified ↑↓	Authorised ↑↓	Confirmed ↑↓
0001	TFS-SG	MMK100001.00		Y	Y	Y
0002	TFS-SG	MMK100002.00		Y	Y	Y
0003	TFS-SG	MMK100003.00		Y	Y	Y
0004	TFS-SG	MMK100004.00		Y	Y	
0005	TFS-SG	MMK100005.00	Y	Y		
0006	TFS-SG	MMK100006.00	Y			
0007	TFS-SG	MMK100007.00		Y	Y	Y
0008	TFS-SG	MMK100008.00		Y	Y	Y
0009	TFS-SG	MMK100009.00		Y	Y	Y
0010	TGL-SG	MMK100001.00		Y	Y	

12. This screen shows the status for each trade that is inputted into the system for TFS Group from 1 Jan 2023 to 12 Jun 2023. You can see whether the trades have been deleted, verified, authorised or confirmed. “Y” indicates “Yes”.

13. Each trade is assigned with a system running sequence number which is shown under Sequence column.

14. Click Print to print a control checklist report which list out all the trades booked by the accounting centres in the CONTROL SEQUENCE group in running sequence number. This is to check that there is no missing trades in the system by checking the running sequence number is in order.

Sequential Numbering Checklist

Date: From 1-Jan-2023 to 12-Jun-2023

Sequence	Acct Cntr	TradeID	TFS Group
1	TFS-SG	MMK100001.00	Y
2	TFS-SG	MMK100002.00	Y
3	TFS-SG	MMK100003.00	Y
4	TFS-SG	MMK100004.00	Y
5	TFS-SG	MMK100005.00	Y
6	TFS-SG	MMK100006.00	Y
7	TFS-SG	MMK100007.00	Y
8	TFS-SG	MMK100008.00	Y
9	TFS-SG	MMK100009.00	Y
10	TGL-SG	MMK100001.00	Y
11	TGL-SG	MMK100002.00	Y
12	TFI-SG	MMK100001.00	Y
13	TFS-SG	FRX100001.00	Y
14	TFS-SG	FRX100002.00	Y
15	TFS-SG	FRX100003.00	Y

15. To export data listing to Excel, click on Action and select Export CSV.

FREQUENTLY ASKED QUESTIONS

RELATED INFORMATION

CHANGE HISTORY

Date	By	Changes
15-Feb-2010	-	Created.
19-Sep-2017	Clarissa	Rewritten. Reformatted.
19-Nov-2019	Lyra	Updated screenshots.
12-Jun-2023	TS	Updated to W6 instructions and screenshots.