

Launch Compliance Rules Group

[See previous W5 version guide](#)

PURPOSE

This document shows the detailed procedure in launching compliance rules group.

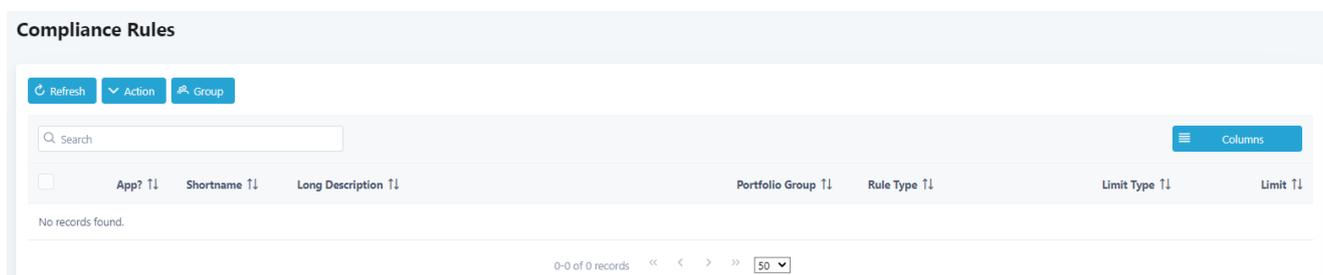
WHY IS THIS IMPORTANT?

In order for compliance rules to be applied and tested against investment holding of a portfolio, the relevant rules must first be assigned to a compliance rule group and then tagged the compliance rule group to a portfolio.

PROCEDURE



1. Select Set Up > Risk > Compliance.



2. Click Group.

Compliance Rules Group

<input type="checkbox"/>	Shortname ↑↓	Description ↑↓
<input type="checkbox"/>	 Compliance Grp A	Compliance Grp A

1-1 of 1 records << < 1 > >> 50 ▾

3. This will list the available compliance rules groups.

FREQUENTLY ASKED QUESTIONS

RELATED INFORMATION

CHANGE HISTORY

Date	By	Changes
15-Feb-2008	-	Created.
8-Sep-2017	Clarissa	Rewritten. Reformatted.
18-Nov-2019	Lyra	Updated screenshots.
21-Mar-2023	TS	Updated to W6 instructions and screenshots.