

Launch Compliance Rules Group (W5)

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PURPOSE

This document shows the detailed procedure in launching new compliance rules group.

WHY IS THIS IMPORTANT?

In order for compliance rules to be applied and tested against investment holding of a portfolio, the relevant rules must first be assigned to a Compliance Rule Group and then the Group is assigned to a portfolio.

PROCEDURE

1. Select Set Up > Risk > Compliance.



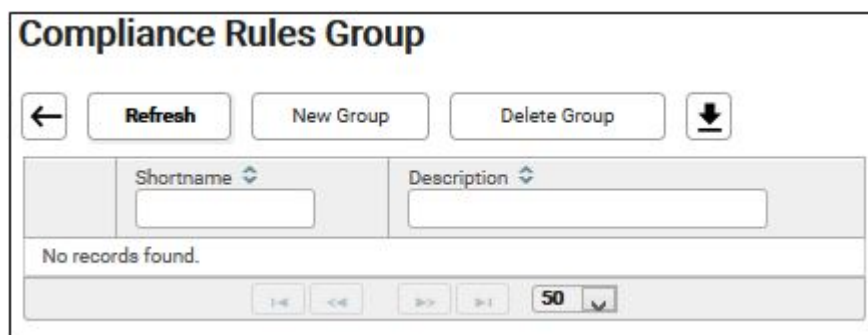
Compliance Rules

Refresh New Delete Approve Group Download

App?	Shortname	Long Description	Portfolio Group	Rule Type	Limit Type	Limit
No records found.						

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50

2. Click Group.



Compliance Rules Group

← Refresh New Group Delete Group Download

Shortname	Description
No records found.	

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50

FREQUENTLY ASKED QUESTIONS

RELATED INFORMATION

CHANGE HISTORY

Date	By	Changes
15-Feb-2008	-	Created
8-Sep-2017	Clarissa	Reformatted. Rewritten.
18-Nov-2019	Lyra	Updated Screenshots.