Create and Amend Investment

[See previous W5 version guide](#)

PURPOSE

This document describes how to create and amend investment trades.

WHY IS THIS IMPORTANT?

This allows the capture of investments in securities such as equity stocks or bonds in CS Lucas system.

PROCEDURE

1. From the main menu, select Transaction > Fund Management > Investment. The New Investment screen is displayed.

New Investment

[X Cancel] [Book] [Import] Settle'm Bank [_____ ▾] [Check Compliance](#)

Acct Cntr*	TFS-SG ▾
Portfolio*	[_____ ▾]
Ticker*	[_____]
TDate*	27/04/2023
TDate Holding	[_____]
Buy/Sell*	- ▾
Quantity*	[_____]
Ccy	[_____]
Price*	[_____]
Yield	[_____]
Broker*	[_____ ▾]

Charges/Expenses

Brokerage	[_____]
CStamp	[_____]
CFees	[_____]
Others	[_____]
GST	[_____]
Gross Proceeds	[_____] [⟳]
Net Proceeds	[_____]
VDate*	dd/mm/yyyy
Transaction Type	- ▾
Our Dealer	admin@devent1.com ▾
Ctpy Dealer	[_____]
Narrative	[_____]
Skip Holidays	☐

Comparative Quotes

Counterparty	[_____ ▾] (+)
Rate%/Price	[_____] (−)
Available Size	- ▾

Counterparty	Rate%/Price	Available Size
No records found.		

2. Fill in the mandatory fields characterized by an asterisk (*).

3. Accounting Centre should default to the user's accounting centre. If not, it will be left blank. Choose the proper Accounting Centre. In this example, TFI-SG will be chosen.

4. Select the Portfolio of the investment from the dropdown. Note that this is a field that requires set up. Please see How to Set Up Portfolio.

5. Choose the Ticker. If unsure of the ticker name, type the first letter and click tab. All the securities starting with "a" will be displayed. In this example, Anglo Amer 4.125% 9/22 will be chosen. Click on Anglo Amer 4.125% 9/22. The system will fill in the Ticker. If you know the ticker, enter it "anglo amer 4.125% 9/22" case insensitive. The system will format the case based on the security set up. If you do not find the desired security, please see How to Set Up Security.

New Investment

| ✕ Cancel | 🖫 Book | ⭳ Import | Settle'm Bank | | ⌄ | Check Compliance |

| 50 rows | ⌄ | ↻ | ✕ | | | |

a*				Acct Cntr*	TFI-SG	⌄
Amundi MMF IC	Amundi Money Market Fund - Sho			Portfolio*	TACTICAL	⌄
AMZN:US	Amazon.com, Inc.			Ticker*	a	
Anglo Amer 4.125% 9/22	Anglo Amer 4.125% 9/2022			TDate*	12/03/2018	
				TDate Holding		

6. Enter the Transaction date.

7. Choose Buy or Sell. In this example, Buy will be selected.

8. Type in the Quantity.

9. Price is displayed with the last available price available on Security Prices on the selected TDate by default. Edit the price as necessary if required.

Note: For bond securities, the price is expressed in percent. Hence, if the price

keyed is 104, it is 104% of the quantity bought or sold.

10. Type in the Yield in percentage form. This is an optional field. Leave blank if not required.

11. Select the Broker.

New Investment

X Cancel	🖫 Book	⬇ Import	Settle'm Bank	[⌄]	Check Compliance

Acct Cntr*	TFI-SG ⌄
Portfolio*	TACTICAL ⌄
Ticker*	Anglo Amer 4.125% 9/22
TDate*	12/03/2018
TDate Holding	15,000,000.000000
Buy/Sell*	Buy ⌄
Quantity*	1000000
Ccy	GBP
Price*	106.91 (11 Sep 2017)
Yield	
Broker*	VICKERS ⌄

12. Under Charges/Expenses, enter any charges/fees for Brokerage, CStamp, CFees, Others, and GST if any. These fields are optional.

13. Next, Click the recompute button next to Gross Proceeds. Gross and net

proceeds will be recomputed and displayed on the screen. VDate or Value Date will be populated based on the number of settlement days from TDate, which is defined in the security setup. Amend the VDate as necessary if required.

If you are buying or selling bond securities, the system also computes the Purchase Interest. See [Computation of Purchase Interest for Bonds](#) for more details. If you want to change the amount of the purchase interest, select the Fix Amount checkbox to lock the amount.

Investment – Buy Bond

New Investment

Field	Value
Acct Cntr*	TFI-SG
Portfolio*	TACTICAL
Ticker*	Anglo Amer 4.125% 9/22
TDate*	12/03/2018
TDate Holding	15,000,000.000000
Buy/Sell*	Buy
Quantity*	1,000,000.000000
Ccy	GBP
Price*	106.910000 (11 Sep 2017)
Yield	
Broker*	VICKERS

Charges/Expenses

Field	Value	
Purchase Interest	18,986.30	☐ Fix amount
Brokerage	0.00	
CStamp	0.00	
CFees	0.00	
Others	0.00	
GST	0.00	
Gross Proceeds	1,069,100.00	⟳
Net Proceeds	1,088,086.30	
VDate*	14/03/2018	VDate has been recomputed. Please check.
Transaction Type	-	
Our Dealer	admin@devent1.com	
Ctpy Dealer		
Narrative		
Skip Holidays	☐	

Comparative Quotes

Counterparty		Counterparty	Rate%/Price	Available Size
Counterparty	⊕	No records found.		
Rate%/Price	⊖			
Available Size	-			

Investment – Buy Equity

New Investment

Cancel | Book | Import   Settle'm Bank [⌄]   Check Compliance

Acct Cntr*	TFI-SG ⌄
Portfolio*	TACTICAL ⌄
Ticker*	SIA:SP
TDate*	12/03/2018
TDate Holding	2,500,000.000000
Buy/Sell*	Buy ⌄
Quantity*	1,000,000.000000
Ccy	SGD
Price*	10.250000 (31 Oct 2017)
Yield	
Broker*	VICKERS ⌄

Charges/Expenses

Brokerage	0.00
CStamp	0.00
CFees	0.00
Others	0.00
GST	0.00
Gross Proceeds	10,250,000.00 ⟳
Net Proceeds	10,250,000.00
VDate*	14/03/2018
Transaction Type	- ⌄
Our Dealer	admin@devent1.com ⌄
Ctpy Dealer	
Narrative	
Skip Holidays	☐

Comparative Quotes

Counterparty	[⌄] ⊕
Rate%/Price	[ ] ⊖
Available Size	- ⌄

Counterparty	Rate%/Price	Available Size
No records found.		

14. At this point, the transaction can be booked. However, other fields can also be filled as needed.

15. To maintain comparative quotes information for the investment trade, select the Counterparty from the drop down box, key in the Rate%/Price in free text form and select Available Size from the drop down box.

Note: Available Size is user definable and can be set up under Set Up > Global > User Definable > Available Size. For more details on how to set up user definable field, click here. You can also edit this field by entering the value on the field and save it to the trade. Note that this does not change the original list available in the drop down box.

Click Add. You will see the values added to the table on the right.

16. When completed, click Book. A popup appears, click Yes to confirm booking.

17. To see the transaction, filter the Acct Cntr and the Portfolio on the main screen, then click Refresh. You can also zoom in the search by filtering the Ticker, TDate Fr, and TDate To fields.

Investment – Buy Bond

Investment

	Flag	TradeID ↑↓	Acct Cntr ↑↓	Broker ↑↓	Portfolio ↑↓	Ticker ↑↓	TDate ↑↓	Buy/Sell ↑↓	Quantity ↑↓	Ccy ↑↓	Net Proceeds ↑↓	Tranx Cost ↑↓
		IVM100013.00	TFI-SG	CAZE	TACTICAL	Anglo Amer 4.125% 9/22	15 Feb 2017	Buy	13,000,000.000000	GBP	14,233,712.47	3,120.00
		IVM100042.00	TFI-SG	CAZE	TACTICAL	Anglo Amer 4.125% 9/22	30 Jun 2017	Buy	2,000,000.000000	GBP	2,129,376.71	0.00
		IVM100053.00	TFI-SG	VICKERS	TACTICAL	Anglo Amer 4.125% 9/22	12 Mar 2018	Buy	1,000,000.000000	GBP	1,088,086.30	0.00

1-3 of 3 records

Investment – Buy Equity

Investment

	Flag	TradeID ↑↓	Acct Cntr ↑↓	Broker ↑↓	Portfolio ↑↓	Ticker ↑↓	TDate ↑↓	Buy/Sell ↑↓	Quantity ↑↓	Ccy ↑↓	Net Proceeds ↑↓	Tranx Cost ↑↓
		IVM100006.00	TFI-SG	VICKERS	TACTICAL	SIA:SP	17 Jan 2017	Buy	1,000,000.000000	SGD	9,891,079.73	1,079.73
		IVM100011.00	TFI-SG	VICKERS	TACTICAL	SIA:SP	7 Feb 2017	Sell	300,000.000000	SGD	2,945,663.28	336.72
		IVM100017.00	TFI-SG	VICKERS	TACTICAL	SIA:SP	7 Mar 2017	Buy	600,000.000000	SGD	5,940,657.08	657.08
		IVM100022.00	TFI-SG	VICKERS	TACTICAL	SIA:SP	11 Apr 2017	Sell	400,000.000000	SGD	4,083,541.51	458.49
		IVM100027.00	TFI-SG	VICKERS	TACTICAL	SIA:SP	16 May 2017	Buy	700,000.000000	SGD	7,490,822.93	822.93
		IVM100035.00	TFI-SG	VICKERS	TACTICAL	SIA:SP	13 Jun 2017	Buy	900,000.000000	SGD	9,009,985.46	985.46
		IVM100054.00	TFI-SG	VICKERS	TACTICAL	SIA:SP	12 Mar 2018	Buy	1,000,000.000000	SGD	10,180,000.00	0.00

1-7 of 7 records

18. To amend the transaction, click on the TradeID. In this example, we will amend the bond trade. The Amend Investment screen will be displayed.

Amend Investments

Cancel | Update | File/Note | Settle'm Bank | [▼] | Check Compliance

Field	Value
Trade ID	IVM100053.00
Acct Cntr*	TFI-SG ▼
Portfolio*	TACTICAL ▼
Ticker*	Anglo Amer 4.125% 9/22
TDate*	12/03/2018
TDate Holding	15,000,000.000000
Buy/Sell*	Buy ▼
Quantity*	1,000,000.000000
Ccy	GBP
Price*	106.910000
Yield	0.000000
Broker*	VICKERS ▼

Charges/Expenses

Field	Value	
Purchase Interest	18,986.30	☐ Fix amount
Brokerage	0.00	
CStamp	0.00	
CFees	0.00	
Others	0.00	
GST	0.00	
Gross Proceeds	1,069,100.00	↻
Net Proceeds	1,088,086.30	
VDate*	14/03/2018	
Transaction Type	- ▼	
Our Dealer	admin@devent1.com ▼	
Ctpy Dealer		
Narrative		
Skip Holidays	☐	

Comparative Quotes

Counterparty	[▼]	⊕
Rate%/Price		⊖
Available Size	- ▼	

Counterparty	Rate%/Price	Available Size
No records found.		

Trade Change History

TradeID:IVM100053.00 By:admin@devent1.com At:27 Apr 2023 05.59.43
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19. Make the necessary changes. In this example, we will change the quantity from 1,000,000 to 2,000,000. Click Recompute button next to Gross Proceeds to recompute the gross and net proceeds, then click Update.

Amend Investments

Settle'm Bank [_____ ▼] Check Compliance

Trade ID	IVM100053.00
Acct Cntr*	TFI-SG ▼
Portfolio*	TACTICAL ▼
Ticker*	Anglo Amer 4.125% 9/22
TDate*	12/03/2018
TDate Holding	15,000,000.000000
Buy/Sell*	Buy ▼
Quantity*	2,000,000.000000
Ccy	GBP
Price*	106.910000
Yield	0.000000
Broker*	VICKERS ▼

Charges/Expenses

Purchase Interest	37,972.60 ☐ Fix amount
Brokerage	0.00
CStamp	0.00
CFees	0.00
Others	0.00
GST	0.00
Gross Proceeds	2,138,200.00 ⟳
Net Proceeds	2,176,172.60
VDate*	14/03/2018
Transaction Type	- ▼
Our Dealer	admin@devent1.com ▼
Ctpy Dealer	
Narrative	
Skip Holidays	☐

Comparative Quotes

Counterparty	[_____ ▼] ⊕
Rate%/Price	[_____] ⊖
Available Size	- ▼

Counterparty	Rate%/Price	Available Size
No records found.		

Trade Change History

TradeID:IVM100053.00 By:admin@devent1.com At:27 Apr 2023 05.59.43
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20. A popup appears, click Yes to confirm updating.
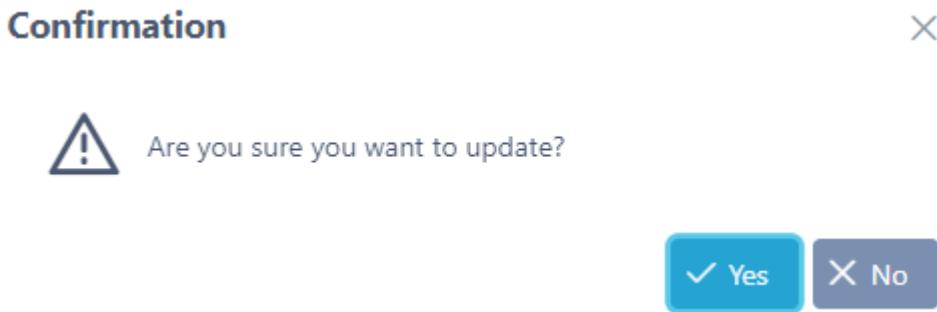
21. Trade is amended and Trade ID is now from .00 to .01.

22. The custodian and settlement information can also be maintained from the launch screen of Investment. First, choose the transaction by clicking on the checkbox next to the TradeID.

23. Then, click Custody/Settlement. The screen below will be displayed.

Custodian

[X Cancel] [💾 Update]

TradeID	IVM100053.01
Acct Cntr	TFI-SG ⌄
Portfolio	TACTICAL ⌄
Ticker	Anglo Amer 4.125% 9/22
TDate	12/03/2018
VDate	14/03/2018
Buy/Sell	Buy ⌄
Quantity	2,000,000.000000
Gross Proceeds	2,138,200.00
Net Proceeds	2,176,172.60

Custody

Custodian	Quantity	Remarks	➕
System Counterparty ⌄	2,000,000		➖

Settlement   Edit ☐

Ccy	Amount
GBP ⌄	2,176,172.60

24. Select the custodian from the dropdown field. If you do not find the desired custodian, please see [How to Set Up Entity – Counterparty](). If you need to add more custodians, click Add Button, and another row appears. Quantity may be split between custodians. In this example, only one custodian will be added.

Custodian		Quantity	Remarks	+
SSB	⌄	2,000,000		−

25. Settlement can also be changed on this screen; for example, if the settlement is done in SGD instead of GBP. To edit settlement, click on the Edit check box. The grey area will now be editable.

Settlement   Edit ✓

Ccy		Amount	+
GBP	⌄	2,176,172.60	−

26. Change the currency by selecting from the Ccy dropdown field. Then, change the amount as appropriate.

Settlement   Edit ✓

Ccy		Amount	+
SGD	⌄	3621722.68	−

27. Once done, click Update.

28. The settlement cash flow in the Back Office > Cash Settlement will reflect the

change for settlement in the currency and amount set.

FREQUENTLY ASKED QUESTIONS

FAQ01. How do I key in the price for bond securities?

The price for bond securities is expressed in percent. For example, if the price is 99.8%, key in as 99.8.

FAQ02. How does the system compute purchase interest?

For more detail on the calculation of the purchase interest, click here.

RELATED INFORMATION

Computation of Purchase Interest for Bonds

Fund Management – Dividend

Fund Management – Coupon Redeem

Fund Management – Corporate Action

Compliance

Set Up Security

How to Set Up MMF with ICD Integration

Money Market Fund

Computation of Interest Accruals for Bonds

Computation of Bond Premium/ Discount

CHANGE HISTORY

Date	By	Changes
15-Feb-2008	-	Created.
28-Jul-2015	CS	Included section for FAQ.
18-Mar-2016	Clarissa	Rewritten. Reformatted.
12-Jun-2016	Douglas	Proofread.
2-Sep-2016	TS	Updated.
13-Apr-2017	Clarissa	Added Related Information.
4-May-2017	TS	Added Money Market Fund in Related Information.
11-May-2017	TS	Added Computation for Interest Accruals for Bonds in Related Information.
25-Nov-2019	Lyra	Updated screenshots.
15-May-2020	Lyra	Updated instructions and screenshots. Added Yield and Available Size in Investment New/Amend screen.
27-Apr-2023	TS	Updated to W6 instructions and screenshots.