Create and Amend Investment (W5)

PURPOSE

This document describes how to create and amend investment trades.

WHY IS THIS IMPORTANT?

This allows the capture of investments in securities such as equity stocks or bonds in CS Lucas system.

PROCEDURE

1. From the main screen, select Transaction > Fund Management > Investment. The New Investment screen is displayed.

New Investment

← | Book | Import | [dropdown] ▼ Check Compliance

Field	Value
Acct Cntr*	TFS-SG ▼
Portfolio*	▼
Ticker*	
TDate*	15/05/2020
TDate Holding	
Buy/Sell*	- ▼
Quantity*	
Ccy	
Price*	
Yield	
Broker*	▼

Charges/Expenses

Field	Value
Brokerage	
CStamp	
CFees	
Others	
GST	
Gross Proceeds	⟳
Net Proceeds	
VDate*	dd/mm/yyyy
Transaction Type	- ▼
Our Dealer	cslucasadmin ▼
Ctpy Dealer	
Narrative	
Skip Holidays	☐

Comparative Quotes

Field	Value
Counterparty	▼	Add
Rate%/Price		Remove
Available Size	▼

Counterparty	Rate%/Price	Available Size
No records found.		

2. Fill in the mandatory fields characterized by an asterisk (*).

3. Accounting Centre should default to the user's accounting centre. If not, it will

be left blank. Choose the proper Accounting Centre. In this example, FLINVM-SG will be chosen.

4. Select the Portfolio of the investment from the dropdown. Note that this is a field that requires set up. Please see How to Set Up Portfolio.

5. Choose the Ticker. If unsure of the ticker name, type the first letter and click tab. All the securities starting with "s" will be displayed. In this example, SIA:SP will be chosen. Click on SIA:SP. The system will fill in the Ticker. If you know the ticker, enter it "sia:sp" case insensitive. The system will format the case based on the security set up. If you do not find the desired security, please see How to Set Up Security.

6. Enter the Transaction date.

7. Choose Buy or Sell. In this example, Buy will be selected.

8. Type in the Quantity.

9. Price is displayed with the last available price available on Security Prices on the selected TDate by default. Edit the price as necessary if required.

Note: For bond securities, the price is expressed in percent. Hence, if the price keyed is 104, it is 104% of the quantity bought or sold.

10. Type in the Yield in percentage form. This is an optional field. Leave blank if not required.

11. Select the Broker.

New Investment

←	Book	Import		▼ Check Compliance

Acct Cntr*	FLINVM-SG ▼
Portfolio*	Invest ▼
Ticker*	SIA:SP
TDate*	15/05/2020
TDate Holding	
Buy/Sell*	Buy ▼
Quantity*	1000
Ccy	SGD
Price*	10.18 (31 Oct 2017)
Yield	
Broker*	GS ▼

12. Under Charges/Expenses, enter any charges/fees for Brokerage, CStamp, CFees, Others, and GST if any. These fields are optional.

13. Next, Click the recomp button next to Gross Proceeds. Gross and net proceeds will be recomputed and displayed on the screen. VDate or Value Date will be populated based on the number of settlement days from TDate, which is defined in the security setup. Amend the VDate as necessary if required.

If you are buying or selling bond securities, the system also computes the Purchase Interest. See Computation of Purchase Interest for Bonds for more details. If you want to change the amount of the purchase interest, select the Fix Amount checkbox to lock the amount.

Investment – Buy Equity   Investment – Buy Bond

New Investment

[←] [Book] [Import] [_____ ▼] C

Acct Cntr*	FLINVM-SG ▼
Portfolio*	Invest ▼
Ticker*	SIA:SP
TDate*	15/05/2020
TDate Holding	
Buy/Sell*	Buy ▼
Quantity*	1,000.000000
Ccy	SGD
Price*	10.180000 (31 Oct 2017)
Yield	
Broker*	GS ▼

Charges/Expenses

Brokerage	0.00
CStamp	0.00
CFees	0.00
Others	0.00
GST	0.00
Gross Proceeds	10,180.00 ⟳
Net Proceeds	10,180.00
VDate*	19/05/2020
Transaction Type	- ▼
Our Dealer	cslucasadmin ▼
Ctpy Dealer	
Narrative	
Skip Holidays	☐

New Investment

← | Book | Import | [▼] Cl

Field	Value
Acct Cntr*	FLINVM-SG ▼
Portfolio*	Invest ▼
Ticker*	SGS 0.5% 4/18
TDate*	18/03/2016
TDate Holding	
Buy/Sell*	Buy ▼
Quantity*	1,000,000.000000
Ccy	SGD
Price*	104.000000
Yield	
Broker*	GS ▼

Charges/Expenses

Field	Value	
Purchase Interest	2,369.86	☐ Fix amount
Brokerage	0.00	
CStamp	0.00	
CFees	0.00	
Others	0.00	
GST	0.00	
Gross Proceeds	1,040,000.00	⟳
Net Proceeds	1,042,369.86	
VDate*	22/03/2016	
Transaction Type	- ▼	
Our Dealer	cslucasadmin ▼	
Ctpy Dealer		
Narrative		
Skip Holidays	☐	

14. At this point, the transaction can be booked. However, other fields can also be filled as needed.

15. To maintain comparative quotes information for the investment trade, select the Counterparty from the drop down box, key in the Rate%/Price in free text form and select Available Size from the drop down box.

Note: Available Size is user definable and can be set up under Set Up > Global > User Definable > Available Size. For more details on how to set up user definable field, click here. You can also edit this field by entering the value on the field and save it to the trade. Note that this does not change the original list available in the drop down box.

Click Add. You will see the values added to the table on the right.

Comparative Quotes					
Counterparty		Add		Counterparty	Rate%/Price	Available Size
Rate%/Price		Remove	☐	CAZE	104.672000	100
Available Size						

16. When completed, click Book. A popup appears, click OK to confirm booking.

✖

⚠ Are you sure you want to book the transaction?

OK	Cancel

17. To see the transaction, filter the Acct Cntr and the Portfolio on the main screen, then click Refresh. You can also zoom in the search by filtering the Ticker, TDate Fr, and TDate To fields.

Investment – Buy Equity

Investment   cslucasadn❓

| Acct Cntr* | FLINVM-SG ▾ | Portfolio* | Invest ▾ | Ticker | | TDate Fr | dd/mm/yyyy | TDate To | dd/mm/yyyy | Broker | |

| Refresh | New | Custody/Settlement | Delete | Confirm | ⬇ ★ 🖫 |

	Flag	TradeID ⇕	Acct Cntr ⇕	Broker ⇕	Portfolio ⇕	Ticker ⇕	TDate ⇕	Buy/Sell ⇕	Quantity ⇕	Ccy ⇕	Net Proceeds ⇕	Tranx Cost ⇕
						sia						
☐	✓⚠◉	IVM100002.00	FLINVM-SG	GS	Invest	SIA:SP	15 May 2020	Buy	1,000.000000	SGD	10,180.00	0.00

|◄ ◄◄ 1 ►► ►| 50 ▾

Investment – Buy Bond

18. To amend the transaction, click on the TradeID. In this example, IVM100002.00 will be chosen. The Amend Investment screen will be displayed.

Amend Investments

← | Update | 📄 | [_____ ▼] Check Compliance

Trade ID	IVM100002.00
Acct Cntr*	FLINVM-SG ▼
Portfolio*	Invest ▼
Ticker*	SIA:SP
TDate*	15/05/2020
TDate Holding	0.000000
Buy/Sell*	Buy ▼
Quantity*	1,000.000000
Ccy	SGD
Price*	10.180000
Yield	0.000000
Broker*	GS ▼

Charges/Expenses

Brokerage	0.00
CStamp	0.00
CFees	0.00
Others	0.00
GST	0.00
Gross Proceeds	10,180.00 ↻
Net Proceeds	10,180.00
VDate*	19/05/2020
Transaction Type	- ▼
Our Dealer	cslucasadmin ▼
Ctpy Dealer	
Narrative	
Skip Holidays	☐

19. Make the necessary changes. In this example, we will change the quantity from 1,000 to 10,000. Click Recomp button next to Gross Proceeds to recompute the gross and net proceeds, then click Update.

Amend Investments

Field	Value
Trade ID	IVM100002.00
Acct Cntr*	FLINVM-SG ▼
Portfolio*	Invest ▼
Ticker*	SIA:SP
TDate*	15/05/2020
TDate Holding	0.000000
Buy/Sell*	Buy ▼
Quantity*	1,0000.000000
Ccy	SGD
Price*	10.180000
Yield	0.000000
Broker*	GS ▼

Charges/Expenses

| Field	Value
Brokerage	0.00
CStamp	0.00
CFees	0.00
Others	0.00
GST	0.00
Gross Proceeds	10,180.00 ⟳
Net Proceeds	10,180.00
VDate*	19/05/2020
Transaction Type	- ▼
Our Dealer	cslucasadmin ▼
Ctpy Dealer	
Narrative	
Skip Holidays	☐

20. A popup appears, click OK to confirm updating.

21. Trade is amended and Trade ID is now from .00 to .01.

22. The custodian and settlement information can also be maintained from the launch screen of Investment. First, choose the transaction by clicking on the checkbox next to the TradeID.

23. Then, click Custody/Settlement. The screen below will be displayed.

Custodian

←	Update

TradeID	IVM100001.01
Acct Cntr	FLINVM-SG
Portfolio	Invest
Ticker	ASTRO
TDate	06/05/2008
VDate	09/05/2008
Buy/Sell	Buy
Quantity	110,400.000000
Gross Proceeds	525,722.81
Net Proceeds	525,722.81

Custody

Custodian	Quantity	Remarks	+
System Counterparty	110,400		−

Settlement   Edit ☐

Ccy	Amount
MYR	525,722.81

24. Select the custodian from the dropdown field. If you do not find the desired custodian, please see How to Set Up Entity – Counterparty. If you need to add more custodians, click Add Button, and another row appears. Quantity may be split between custodians. In this example, only one custodian will be added.

Custody

Custodian	Quantity	Remarks	+
SSB	110,400		−

25. Settlement can also be changed on this screen; for example, if the settlement is done in SGD instead of MYR. To edit settlement, click on the Edit check box.

The grey area will now be editable.

26. Change the currency by selecting from the Ccy dropdown field. Then, change the amount as appropriate.

27. Once done, click Update.

28. The settlement cash flow in the Back Office > Cash Settlement will reflect the change.

FREQUENTLY ASKED QUESTIONS

FAQ01. How do I key in the price for bond securities?

> The price for bond securities is expressed in percent. For example, if the price is 99.8%, key in as 99.8.

FAQ02. How does the system compute purchase interest?

> For more detail on the calculation of the purchase interest, click here.

RELATED INFORMATION

Computation of Purchase Interest for Bonds

Fund Management – Dividend

[Fund Management – Coupon Redeem](#)

[Fund Management – Corporate Action](#)

[Compliance](#)

[Set Up Security](#)

[How to Set Up MMF with ICD Integration](#)

[Money Market Fund](#)

[Computation of Interest Accruals for Bonds](#)

[Computation of Bond Premium/ Discount](#)

CHANGE HISTORY

Date	By	Changes
15-Feb-2008	-	Created.
28-Jul-2015	CS	Included section for FAQ.
18-Mar-2016	Clarissa	Rewritten. Reformatted.
12-Jun-2016	Douglas	Proofread.
2-Sep-2016	TS	Updated.
13-Apr-2017	Clarissa	Added Related Information.
4-May-2017	TS	Added Money Market Fund in Related Information.
11-May-2017	TS	Added Computation of Interest Accruals for Bonds in Related Information.
25-Nov-2019	Lyra	Updated Screenshots.
15-May-2020	Lyra	Updated instructions and screen shots. Added Yield and Available Size in Investment New/Amend screen.