

Call/ Sweep Transaction

[See previous W5 version guide](#)

PURPOSE

This is a detailed procedure for how to manage and book inter-company transactions, call deposit account transactions with external counterparty and bank sweeping activities.

WHY IS THIS IMPORTANT?

This allows capture of the following transactions:

- i. Inter-company funding transactions that usually do not have a fixed maturity date.
- ii. Call deposit account transactions with external counterparty.
- iii. Bank sweeping activities.

It provides details and overview of the cumulative balance/ position of the interco/ external call and sweep activities of the bank sweep group. It also allows you to manage interest between inter-company pair, call deposit account and bank sweep group.

PROCEDURE

1. From the main menu, select Transaction > Call/Sweep. The screen below displays.

Call/Sweep

View* Activity ▼

Short Name* ▼ View* ▼ VDate Fr* 01/01/2017 VDate To 30/06/2017

TradeID Narrative

Refresh Action Set Up Star Print

Columns

<input type="checkbox"/>	Flag	TradeID ↑↓	Type ↑↓	Acct Cntr ↑↓	CtpyID ↑↓	VDate ↑↓	Ccy ↑↓	Int Cap ↑↓	Interest ↑↓	Principal ↑↓	Narrative ↑↓
No records found.											

0-0 of 0 records << < > >> 50 ▼

2. To create a new transaction, click on Action and select New.

3. The following screen displays.

New Call/Sweep

Cancel Book Import Settle'm Bank ▼ [Check Compliance](#)

Shortname ▼

4. There are three types of transaction that you can create in this screen.

i. **Interco Call**

Select the Interco Call short name. This Interco set up needs to be pre-set up in the Call/ Sweep set up screen. For more details on how this is set up, see [Call/ Sweep set up](#).

New Call/Sweep

✕ Cancel

📁 Book

📄 Import

Settle'm Bank



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Shortname



Interco Call

PINE-Funding

TFS - TGL

External Call

The following fields display. Acct Cntr and the currency will be populated as per set up in the Interco set up.

New Call/Sweep

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Shortname

TFS - TGL

Acct Cntr*

TFS-SG

CtpyID*

VDate*

22/02/2016

Ccy*

SGD

Outstanding Principal

Outstanding Interest

Interest Capitalized

Interest (+In/-Out)

Portfolio

Our Dealer

admin@devent1.com

Ctpy Dealer

Narrative

Skip Holiday

Select the Ctpy ID. The drop down will shows the inter-company entities that are set up in the selected Interco Call short name. In this example, the Interco set up was made with an entity only, which is TGL-SG. So, we can

only choose TGL-SG.

Type in the Value Date for when the transaction is taking place. Default is system's date but it can be changed.

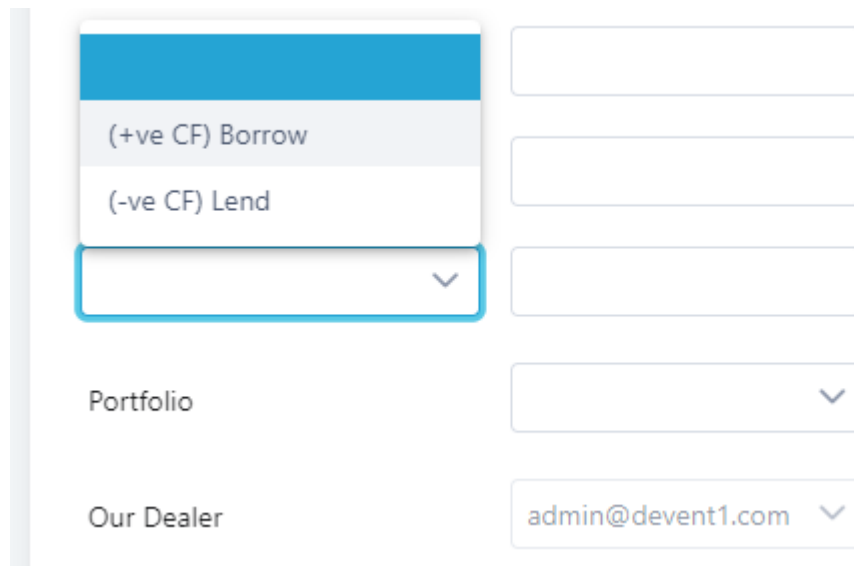
Once the above is filled out, the outstanding principal and outstanding interest as at the VDate will be populated. If this is the first transaction, the outstanding principal and interest will show as 0.

New Call/Sweep

Cancel Book Import Settle'm Bank [Check Compliance](#)

Shortname	TFS - TGL
Acct Cntr*	TFS-SG
CtpylD*	TGL-SG
VDate*	22/02/2016
Ccy*	SGD
Outstanding Principal	0.00
Outstanding Interest	0.00

Since this is the first transaction, click on the drop down field as shown below to identify the transaction to be made. In this example, TFS-SG will borrow from TGL-SG, so we will choose Borrow which means that this transaction will put the cash flow amount of TFS-SG to positive.



The image shows a web form for recording a transaction. On the left, there is a vertical light blue bar. The form consists of several fields and labels. A dropdown menu is open, showing two options: "(+ve CF) Borrow" and "(-ve CF) Lend". The third option is a blank field with a downward arrow. To the right of the dropdown are three empty rectangular input fields. Below these are two more fields: "Portfolio" with a dropdown arrow, and "Our Dealer" with a dropdown arrow showing the text "admin@devent1.com".

(+ve CF) Borrow	
(-ve CF) Lend	
Portfolio	
Our Dealer	admin@devent1.com

In the field beside it, input the borrow amount.

At this point, we can book the transaction. However, if the other fields like portfolio, counterparty dealer and narrative are necessary, they can also be filled out.

New Call/Sweep

[Cancel](#)[Book](#)[Import](#)

Settle'm Bank

[Check Compliance](#)

Shortname

TFS - TGL



Acct Cntr*

TFS-SG



CtpyID*

TGL-SG



VDate*

22/02/2016

Ccy*

SGD



Outstanding Principal

0.00



Outstanding Interest

0.00

Interest Capitalized

Interest (+In/-Out)

(+ve CF) Borrow



10000000

Portfolio



Our Dealer

admin@devent1.com



Ctpy Dealer

Narrative

Skip Holiday

☐

When completed, click Book. System will make sure the sign of the amount is correctly inputted.

To view transaction booked, choose the Short Name. In

this example, TFS – TGL. Then followed by the View. View offers two perspectives in the dropdown for inter-company. You can view the transaction from TFS-SG’s point of view, which is a borrow transaction, or from TGL-SG’s, which is a lend transaction. Both the Short Name and View list are restricted by access rights.

The screenshot shows the 'Call/Sweep' form. The 'View*' dropdown is open, showing two options: 'TFS-SG/TGL-SG' and 'TGL-SG/TFS-SG'. The 'Short Name*' is set to 'TFS - TGL'. The 'VDate Fr*' is set to '22/02/2016'. The 'VDate To' is set to 'dd/mm/yyyy'. There are buttons for 'Refresh', 'Action', 'Set Up', and a star icon.

In this example, we will choose from TFS-SG/TGL-SG which is from TFS-SG’s point of view.

Vdate Fr is defaulted to system’s date. You may change it.

Then, click Refresh.

The transaction will be displayed.

The screenshot shows the 'Call/Sweep' form with the transaction details table displayed. The table has columns: Flag, TradeID, Type, Acct Cntr, CtpyID, VDate, Coy, Int Cap, Interest, Principal, and Narrative. The first row shows a transaction with TradeID 'INC100006.00', Type 'Interco Call', Acct Cntr 'TFS-SG', CtpyID 'TGL-SG', VDate '22 Feb 2016', Coy 'SGD', Int Cap '0.00', Interest '0.00', and Principal '10,000,000.00'. The table is paginated with 1-1 of 1 records.

Flag	TradeID	Type	Acct Cntr	CtpyID	VDate	Coy	Int Cap	Interest	Principal	Narrative
	INC100006.00	Interco Call	TFS-SG	TGL-SG	22 Feb 2016	SGD	0.00	0.00	10,000,000.00	

ii. External Call

Same as the steps as (i) Interco Call above except that the drop down for Ctpy ID will contains only the external counterparties when you select External Ctpy Call short name.

New Call/Sweep

✕ Cancel 📁 Book 📄 Import Settle'm Bank ▼ [Check Compliance](#)

Shortname	TFS - BARC ▼
Acct Cntr*	TFS-SG ▼
CtpylD*	▼
VDate*	▼
Ccy*	SGD ▼
Outstanding Principal	<input type="text"/> ↻
Outstanding Interest	<input type="text"/>
Interest Capitalized	<input type="text"/>
Interest (+In/-Out)	<input type="text"/>
<input type="text"/> ▼	<input type="text"/>
Portfolio	<input type="text"/> ▼

iii. Bank Sweep

Select the Bank Sweep short name. This bank sweep set up needs to be pre-set up in the Call/ Sweep set up screen. For more details on how this is set up, see [Call/ Sweep set up](#).

New Call/Sweep

✕ Cancel 📁 Book 📄 Import Settle'm Bank ▼ [Check Compliance](#)

Shortname

External Call

ANZ Call

TFS - BARC

Bank Sweep

PINE CITI-ZBA USD

TFS-ZBA-SGD

The following fields are display.

The accounting centre of the master sweep SSI and the currency of the bank sweep group will be populated. The members of the sweep group are shown in the table below the fields in the booking screen.

New Call/Sweep

✕ Cancel

📁 Book

📄 Import

Settle'm Bank



[Check Compliance](#)

Shortname

TFS-ZBA-SGD



Acct Cntr*

TFS-SG



VDate*

22/02/2016

Ccy*

SGD



Portfolio



Our Dealer

admin@devent1.com



Ctpy Dealer

Narrative

Skip Holiday

☐

Child

SSI

Child CF +/-

TGL-SG

TG-CI-SGD

0.0

TFI-SG

TI-CI-SGD

0.0

New Call/Sweep

✕ Cancel 📄 Book 📥 Import Settle'm Bank ▼ [Check Compliance](#)

Shortname

TFS-ZBA-SGD ▼

Acct Cntr*

TFS-SG ▼

VDate*

22/02/2016

Ccy*

SGD ▼

Portfolio

▼

Our Dealer

admin@devent1.com ▼

Ctpy Dealer

Narrative

Skip Holiday

☐

ild	SSI	Child CF +/-
L-SG	TG-CI-SGD	1267.28
-SG	TI-CI-SGD	582.91

◀ ▶

Other optional fields are portfolio, counterparty dealer and narrative that you can maintain on this booking screen.

When completed, click Book.

To view the transaction booked, choose the Short Name. In

this example, TFS-ZBA-SGD. Then, followed by the View. View offers to view from the master's point of view or the child's point of view. Both the Short Name and View list are restricted by access rights. In this example, it allows to view for all entities. If one can only view for one entity only, i.e. TGL-SG, one can see one view only in the View list, which is TGL-SG (TG-CI-SGD)/TFS-SG (TF-CI-SGD).

The screenshot shows the 'Call/Sweep' interface. The 'View*' dropdown is open, displaying a list of options: TFS-SG (TF-CI-SGD)/TFI-SG (TF-CI-SGD), TFS-SG (TF-CI-SGD)/TGL-SG (TG-CI-SGD), TFI-SG (TF-CI-SGD)/TFS-SG (TF-CI-SGD), and TGL-SG (TG-CI-SGD)/TFS-SG (TF-CI-SGD). The 'Short Name*' is set to 'TFS-ZBA-SGD'. The 'VDate Fr' is '22/02/2016' and 'VDate To' is 'dd/mm/yyyy'. The 'TradeID' and 'Narrative' fields are empty. The 'Refresh' button is highlighted. The table below shows 'No records found'.

In this example, we will choose TFS-SG with TFI-SG.

Vdate Fr is defaulted to system's date. You may change it.

Then, click Refresh.

The transaction will be displayed.

The screenshot shows the 'Call/Sweep' interface after refreshing. The 'View*' dropdown is now set to 'TFS-SG (TF-CI-SGD)/TFI-SG (TFI-SGD)'. The 'VDate Fr' is '22/02/2016' and 'VDate To' is '22/02/2016'. The 'TradeID' and 'Narrative' fields are empty. The 'Refresh' button is highlighted. The table below shows one record:

Flag	TradeID	Type	Acct Cntr	CtpyID	VDate	Ccy	Int Cap	Interest	Principal	Narrative
<input checked="" type="checkbox"/>	INC100008.00	Bank Sweep Group	TFS-SG (TF-CI-SGD)	TFI-SG (TFI-SGD)	22 Feb 2016	SGD	0.00	0.00	-582.91	

5. To view or amend the transaction, click on the TradeID from the Activity view of Call/ Sweep screen to drill down to the Amend screen. Note that the transaction can only be amended by the accounting centre/ the master sweep SSI that book the transaction.

Amend Call/Sweep

✕ Cancel

 Amend

Settle'm Bank

▼

[Check Compliance](#)

TradeID

INC100008.00

Shortname

TFS-ZBA-SGD

▼

Acct Cntr*

TFS-SG

▼

CtpyID*

TFI-SG

▼

Ctpy SSI*

TI-CI-SGD

▼

VDate*

22/02/2016

Ccy*

SGD

▼

Outstanding Principal

0.00



Outstanding Interest

0.00

Interest Capitalized

0.00

Interest (+In/-Out)

0.00

(-ve CF) Lend

▼

-582.91

Portfolio

▼

Our Dealer

admin@devent1.com

▼

Ctpy Dealer

Narrative

Skip Holiday

☐

Trade Change History

6. To view all transactions made on the inter-company transaction, call deposit or bank sweep on cumulative basis and check the interest accrued, Cumulative may be chosen from the View field.

Call/Sweep

View* Activity ▼

Short Name* View* TFS-SG/TGL-SG ▼ VDate Fr* 22/02/2016 VDate To 22/02/2016

TradeID Activity ▼ Narrative Cumulative ▼

Refresh Action Set Up Star Lock

Columns

<input type="checkbox"/>	Flag	TradeID ↑↓	Type ↑↓	Acct Cntr ↑↓	CtpylID ↑↓	VDate ↑↓	Ccy ↑↓	Int Cap ↑↓	Interest ↑↓	Principal ↑↓	Narrative ↑↓
No records found.											

0-0 of 0 records << < > >> 50

7. Click Refresh and the screen below displays.

Call/Sweep

View* Cumulative ▼

Short Name* TFS - TGL ▼ View* TFS-SG/TGL-SG ▼ VDate Fr* 22/02/2016 VDate To 29/02/2016 ☒ Accrual to last end date

Refresh Action

Columns

Start Date	End Date	TradeID	Principal CF	Principal O/S	Eff Rate (%)	Days	Period Interest	Interest CF	Int Cap'n	Interest O/S
22 Feb 2016	22 Feb 2016	B/F		0.00						0.00
22 Feb 2016	29 Feb 2016	INC100006.00	10,000,000.00	-10,000,000.00	3.500000	8	-7,671.23	0.00	0.00	-7,671.23

1-2 of 2 records << < 1 > >> 50

8. Once there are more transactions and change in interest rates will be included in the display for the relationship. They will appear in the Cumulative view.

FREQUENTLY ASKED QUESTIONS

RELATED INFORMATION

[Maintaining Basis Rates](#)

[Call/ Sweep Margin](#)

CHANGE HISTORY

Date	By	Changes
22-Feb-2016	Clarissa	Created.
12-Jun-2016	Douglas	Proofread.
7-Oct-2016	TS	Updated with instructions to book call deposit with external ctpy.
3-Nov-2016	TS	Updated with instructions to book bank sweep.
21-Nov-2019	Lyra	Updated screenshots.
11-May-2023	TS	Updated to W6 instructions and screenshots.