

Applying Call/Sweep Facility Limit

[See previous W5 version guide](#)

PURPOSE

This document shows the detailed procedure in applying facility limit to Call/Sweep.

WHY IS THIS IMPORTANT?

This allows system to check against counterparty limit during trade booking.

PROCEDURE

1. In this example, we will set up facility and limit for external counterparty call. Select Set Up > Risk > Facility.
2. Click New. For more details on how to set up facility and limit, click [here](#).

New Facility

✕ Cancel

💾 Save

⬇️ Import

Facility Type*

Capex



Short Name*

TFS>BARC-Ext Call

Acct Cntr*

TFS-SG



Borrow/Lend*

Lend



Counterparty*

BARC-LN



Grant Date*

01/01/2010

Draw By Date

dd/mm/yyyy

Maturity Date*

30/12/2050

Check Trade MDate?



3. Click Save.

4. In the main screen of the Facility, click on the Edit button of the facility created.

5. Click Limit from the Amend Facility screen and set up the limit in the Facility Limit screen below. In this example, we will set up GBP 100,000,000.00 limit and apply to Call/Sweep product.

Facility Limit

✕ Cancel
📁 Update
📄 Import

TFS>BARC-Ext Call

With Effect	Ccy	Amount	Product	Utilization Type
1 Jan 2010	GBP	100,000,000.00	Call/Sweep	Revolving

With Effect*

Limit Ccy*

Limit Amount*

Utilization Type*

Product and Sub limits

Product	Ccy	Sub Limit
Call/Sweep		

6. Click Update. Click Cancel button.

7. Select the facility and click on Action and Approve.

Facility

AcctCntr*
 Lend/Borrow
 Counterparty
 Status


🔄 Refresh
⌵ Action
📊 Utilisation
★
📄

	Facility	AcctCntr	Lend/borrow	Counterparty	Grant Date	MDate	Status
<input checked="" type="checkbox"/>	TFS>BARC-Ext Call	TFS-SG	Lend	BARC-LN	1 Jan 2010	30 Dec 2050	Open
<input type="checkbox"/>	CITI-SG < TFS-SG	TFS-SG	Borrow	CITI-SG	1 Jan 2010	31 Dec 2050	Open

8. When a pop-up appears, click Yes.

Confirmation

×

 Confirm approving selected item?

✓ Yes

✕ No

9. The facility is approved.

Facility

AcctCntr*

TFS-SG

Lend/Borrow

Counterparty

Status

Refresh


Action

Utilisation

★

TFS>B

Columns

	App? ↑↓	Short Name ↑↓	AcctCntr ↑↓	Lend/borrow ↑↓	Counterparty ↑↓	Grant Date ↑↓	MDate ↑↓	Status ↑↓
<input type="checkbox"/>		TFS>BARC-Ext Call	TFS-SG	Lend	BARC-LN	1 Jan 2010	30 Dec 2050	Open

1-1 of 1 records

<< < 1 > >>

50

10. Tag the facility limit to the external counterparty call set up. In this example, since Lend is the only one created use the dropdown on the right to set the Lend limit.

Amend Call/Sweep Set up

✕ Cancel

📁 Update

⚙️ Margin

≡ History

Type*

External Ctpy Call



Short Name*

TFS - BARC

Acct Cntr*

TFS-SG



Ctpy ID*

BARC-LN



Ccy*

GBP



Bank



Lend Ratebasis

BARC Lend Call Rate



Borrow Ratebasis



Portfolio



Show Advance Fields

☐

Counterparty

Borrow Limit

Lend Limit

BARC-LN



TFS>BARC-Ext Call



11. Click Update when completed.

12. Approve the call/sweep set up.

Call/Sweep Set Up

Acct Cntr* TFS-SG Ccy

☒
☐
☐
☐
☐
☐
☐

App? ↑↓

N

External Ctpy Call

TFS - BARC

TFS-SG

BARC-LN

GBP

Interco Call

TFS - TGL

TFS-SG

TGL-SG

SGD

Shortname ↑↓

Acct Cntr ↑↓

Entity/Grouping ↑↓

Ccy ↑↓

Bank ↑↓

☒
☐
☐
☐
☐
☐
☐

✓ Approve

✖ Delete

📄 Export CSV

📄 Export PDF

13. The call/sweep set up is approved.

Call/Sweep Set Up

Acct Cntr* TFS-SG Ccy

☐
☒
☐
☐
☐
☐
☐

App? ↑↓

External Ctpy Call

TFS - BARC

TFS-SG

BARC-LN

GBP

Interco Call

TFS - TGL

TFS-SG

TGL-SG

SGD

Shortname ↑↓

Acct Cntr ↑↓

Entity/Grouping ↑↓

Ccy ↑↓

Bank ↑↓

☐
☒
☐
☐
☐
☐
☐

14. Once done, booking can already be made. In the New Call/Sweep screen, choose the Short name. In this example, we will select the TFS - BARC.

New Call/Sweep

✕ Cancel

📁 Book

📄 Import

Settle'm Bank



[Check Compliance](#)

Shortname

▼

Interco Call
PINE-Funding
TFS - TGL
External Call
ANZ Call
TFS - BARC

15. Key in the transaction as below.

New Call/Sweep

[Cancel](#)[Book](#)[Import](#)

Settle'm Bank

[Check Compliance](#)

Shortname

TFS - BARC



Acct Cntr*

TFS-SG



CtpyID*

BARC-LN



VDate*

21/03/2017

Ccy*

SGD



Outstanding Principal

0.00



Outstanding Interest

0.00

Interest Capitalized

Interest (+In/-Out)

(-ve CF) Lend



120000000

Portfolio



Our Dealer

admin@devent1.com



Ctpy Dealer

Narrative

Skip Holiday

☐

16. Click Book. System will check for the limit. If the limit is breached, system will prompt an error message as shown below with another Book button in red.

New Call/Sweep

Cancel
Book
Import
Settle'm Bank

▼

[Check Compliance](#)

⊗ The limit is breached. Available Lending is GBP 100,000,000.00.If you have the appropriate access rights, click on the button below to proceed with the booking.

Book

Shortname

TFS - BARC

Acct Cntr*

TFS-SG

CtpyID*

BARC-LN

VDate*

21/03/2017

Ccy*

GBP

Outstanding Principal

0.00

↺

Outstanding Interest

0.00

Interest Capitalized

0.00

System corrected sign/values. Please verify.

Interest (+In/-Out)

0.00

System corrected sign/values. Please verify.

(-ve CF) Lend

-120,000,000.00

System corrected sign/values. Please verify.

Portfolio

Our Dealer

admin@devent1.com

Ctpy Dealer

Narrative

Skip Holiday
☐

17. Once system detected the limit is breached, additional access rights is required to proceed with the booking. The access rights required is 14544.

Right ID ↑↓	Category ↑↓	Permission ↑↓
14544	Call/Sweep	Book Call/Sweep Trades that exceed limits.

FREQUENTLY ASKED QUESTIONS

RELATED INFORMATION

[Call/ Sweep Set Up](#)

CHANGE HISTORY

Date	By	Changes
7-Apr-2017	Clarissa	Created.
24-Aug-2018	Silpa	Updated step 1.
28-Nov-2019	Lyra	Updated screenshots.
12-May-2023	TS	Updated to W6 instructions and screenshots.